



Subscription form – Natural person

To be able to register you as a customer, Max Mitteregger Kapitalförvaltning AB (the "Company") needs to receive this subscription form along with the documents specified below. Please note that in accordance with the provisions of the Swedish Act on Measures against Money Laundering and Terrorist Financing (2017:630) as well as Swedens implementation acts adopted to implement the Organisation for Economic Co-operation and Development's (OECD's) Common Reporting Standard (CRS) and US Foreign Tax Account Compliance Act (FATCA) we are unable to administer investments without a complete subscription form including all requested documents.

A subscription can only be made on the last business day of each calendar month. Your completed subscription form (including all requested documents) must be received by the Company no later than three business days before the date of subscription. The subscription amount shall be paid without any notice from the Company. If the subscription amount has not been transferred in due time, the subscription will be cancelled and the transferred amount will be returned. The investment amount shall be transferred to the bank account SEB 5565-10 083 81. When transferring the amount, please specify your name and social security number.

1. CUSTOMER INFORMATION (please text)

*Full name	*Social security number
*Postal Address	*Telephone number daytime (incl. area code)
*Zip code and city	*E-mail
*Country (if other than Sweden)	*Residence for tax purposes (if another country than Sweden)
*Subscription amount	
*Bank account incl. clearing no. (for payment of any dividend and redemption amount)	*Bank

* required information

The above address is other than customer's registered address. Reason: _____

2. REPRESENTATIVE/TRUSTEE (in case of shared custody both legal guardians are to be specified)

Name	Social security number
Name	Social security number

If you are representing a person without being trustee or legal guardian – please enclose a copy of your identity document, and a copy of a power of authority, birth certificate or equivalent.

3. INFORMATION ON OBLIGATIONS TO PAY TAX OUTSIDE OF SWEDEN

Natural person

Are you liable to pay tax in another country than Sweden or in the United States due to a citizenship or any another connection?

This may apply to you who were born in the United States, has an address in the United States, has a "Green Card" in the United States, is a U.S. citizen, has an authorized natural / legal personality or has another tax related relationship with the United States (natural persons and legal persons' actual beneficial owners, owners of more than 25% of the company's shares or voting rights). The same applies in relation to taxation in other countries.

Yes (please specify country and your tax number below) No (continue to next question)

Country: _____

Tax number (TIN): _____

Subscription form is sent to:
 Postal address: Max Mitteregger Kapitalförvaltning AB, Box 7472, 103 92 STOCKHOLM
 E-mail: fondorder-gladiator@mittkap.se
 Fax : 08-545 048 49

4. CUSTOMER QUESTIONNAIRE

4.1. Which of the following alternatives best describes your purpose in becoming a customer of The Company and investing in our products?

- Regular savings, e.g. through monthly investments
 Risk diversification
 Other – please specify:

4.2. What is your investment horizon?

- Long-term investment (> 5 years)
 Medium-term investment (1-5 years)
 Short-term investment (< 1 year)

4.5. Politically Exposed Persons (PEP)

4.5.1 Are you, or have you in the last 18 months had a position as:

- The Heads of State or Government, Minister or Deputy / Assistant Minister
 Member of Parliament
 Member of the Board of a political party
 Judge of the Supreme Court, Constitutional Court or other judicial bodies at a high level, whose decisions only in exceptional cases can be appealed

No. If the answer is no, please move on to the next question.

4.5.2 Are you a family member or an associate to a person who is or has during the last 18 months been in one of the positions above? The definitions of a family member and an associate is in the information below, see Appendix 1.

No. If the answer is no, please move on to the next question.

4.3. How large do you estimate that your average transactions in The Company products will be?

- < SEK 500,000
 SEK 500,000–1,000,000
 > SEK 1,000,000

4.4. How frequent do you estimate that you will make transactions to The Company's products?

- Once a month
 Several times a year
 Once a year
 Less frequent

- Senior official of the audit authority or board member of a Central Bank
 Ambassador, diplomatic envoy or high officer in the armed forces
 Member of a state-owned company's administrative, management or supervisory
 A Position at the head of an international organization (e.g. U.N., NATO, WTO)

Yes. If the answer is yes, please specify the information below in Appendix 1

Yes. If the answer is yes, please specify the information in Appendix 1.

4.6 Are you acting as a manager/agent/trustee for another individual, group or unit, who is the actual beneficial owner?

No. If the answer is no, please move on to the next question.

Yes. If "Yes", please state the name and personal ID number and address of the person on whose behalf you are acting (the actual beneficial owner):

Name
Personal ID- number and ID-number or passport number
Address

* Attach a copy of a valid Passport or ID.

* Also answer the questions in Appendix 1 regarding the actual beneficial owner.



5. CERTIFICATION

<ul style="list-style-type: none">• I/we declare that any information provided herein that is of importance to taxation, the application of legal provisions relating to reporting obligations etc. is correct• I/we undertake to promptly notify The Company of any changes thereof, e.g. moving abroad and changes of names, addresses or bank accounts.• I/we declare that I/we have read, understood and accepted the fund rules and the information about the fund provided in Gladiator's information brochure.• I/we confirm that the Company relies on the information provided in this subscription form and that the Company is entitled to extradite this information upon request from a public authority.• The Company does not provide any financial advice as described in the Swedish Act (2003: 862) on financial advice to consumers. By signing this subscription form, I / We have not instructed The Company to provide such advice nor can it be obtained from The Company.• I/we understand that the Company will process my/our personal data (information provided both by me/us as well as information that may be obtained from elsewhere) to the extent necessary for performance of this agreement and in relation thereto and for the fulfilment of the Company's legal obligations, as well as that the personal data may be disclosed to and processed by companies to which the Company has outsourced operations.• I / We confirm that the purpose of the business relationship is fund-saving.	
Place and date	Signature

Legal guardians name and signature (if applicable)	Signature
Legal guardians name and signature (if applicable)	Signature

DISCLAIMER

Gladiator is a special fund according to the Swedish Alternative Investment Fund Managers Act (2013:561). Gladiator is therefore not to be referred to as an UCITS-fond. The investor is responsible for that the investment in Gladiator complies with the current Swedish and foreign laws and regulations. Foreign law may prevent investors outside of Sweden from investing in the fund. The Company is not in any way responsible for ascertaining whether any investment from abroad complies with the laws of the relevant country. Disputes regarding the fund shall be determined in accordance with the Swedish law and exclusively by a Swedish court. There is no guarantee that an investment in the fund will give a return, despite a positive trend in the financial markets. Historical performance is no guarantee for that the fund will have a positive performance in the future. An Investment in the fund should be viewed as a long-term investment.

The Company may due to regulatory demands be forced to deny a subscription in Gladiator, for example if this form is not completely filled in or if any other documents have not been handed to us. If we need to repay the subscription fee, it will be transferred to the account we received it from, or to the account specified in the subscription form.

Documents to be enclosed <ul style="list-style-type: none">• A certified and dated copy of a valid ID document (e.g. a valid driving license, certified ID card or passport)• A certified and dated copy of the legal guardian's valid ID documents if the subscription regards a minor• Power of authority as original document or certified copy if the customer is represented by a trustee

INFORMATION AND DEFINITIONS

Please note that in accordance with the provisions of the Swedish Act on Measures against Money Laundering and Terrorist Financing (2017:630) we are unable to administer investments without a complete subscription form including all requested documents. The subscription form shall be sent to The Company in original.

The Company will, in order to fulfil its obligations according to this agreement as well as other legal obligations mandatory for the Company, process personal data related to this agreement. For more information on personal data processing, please refer to the Personal Data Integrity Policy on the Company's website.

Information on tax liability outside Sweden (article 3)

This information is collected due to legislation that has been adopted/changed by Swedish and/or other foreign jurisdiction (including, without restriction, FATCA, CRS, various agreements that aim to improve international tax compliance or other jurisdictions legislation with equivalent significance).

Politically Exposed Persons (PEP) (article 4.5)

If a person wishes to invest in a fond, the fund broker shall, according to the Swedish Act on Measures against Money Laundering and Terrorist Financing (2017:630) investigate whether the investor is a so called PEP (Politically Exposed Person). PEPs, their family members and closest associates are considered to belong to a customer category where the risk of money laundering is higher than normal. A PEP is a person who has or have had an important public political function in a state or a important position at the head of an international organization.

A family member is referred to as one the following:

- Spouse
- Partner considered by national law to be equivalent with spouse
- Registered Partner
- Children or children's spouse/partner
- Parent

An associate is referred to as one the following:

- Natural person who has a close connection with a person in a political exposed position e.g. by being a legal entities actual principal together with such person

Subscription form is sent to:
Postal address: Max Mitteregger Kapitalförvaltning AB, Box 7472, 103 92 STOCKHOLM
E-mail: fondorder-gladiator@mittkap.se
Fax : 08-545 048 49



APPENDIX 1 - Politically Exposed Persons (PEP)

If a person wishes to invest in Gladiator The Company is obliged to investigate whether the investor is a so-called PEP (Politically Exposed Person). PEPs, their family members and closest associates are considered to belong to a customer category where the risk of money laundering is higher than normal. A PEP is a person who has or have had an important public political function in a state or a important position at the head of an international organization.

1 Are you, or have you during the last 18 months had a position as:

- | | |
|---|---|
| <input type="checkbox"/> The Heads of State or Government, Minister or Deputy / Assistant Minister | <input type="checkbox"/> Senior official of the audit authority or board member of a Central Bank |
| <input type="checkbox"/> Member of Parliament | <input type="checkbox"/> Ambassador, diplomatic envoy or high officer in the armed forces |
| <input type="checkbox"/> Member of the Board of a political party | <input type="checkbox"/> Member of a state-owned company's administrative, management or supervisory |
| <input type="checkbox"/> Judge of the Supreme Court, Constitutional Court or other judicial bodies at a high level, whose decisions only in exceptional cases can be appealed | <input type="checkbox"/> A Position at the head of an international organization (e.g. U.N., NATO, WTO) |

No. If the answer is no, please move on to the next question.

Yes. If the answer is yes, please specify the information below

Name
Country
Function
Personal ID-number, ID-number or Passport number
Period

2 Are you a family member or a close associate to a person who is or has in the last 18 months been in a position as:

- | | |
|---|---|
| <input type="checkbox"/> The Heads of State or Government, Minister or Deputy / Assistant Minister | <input type="checkbox"/> Ambassador, diplomatic envoy or high officer in the armed forces |
| <input type="checkbox"/> Member of Parliament | <input type="checkbox"/> Member of a state-owned company's administrative, management or supervisory |
| <input type="checkbox"/> Judge of the Supreme Court, Constitutional Court or other judicial bodies at a high level, whose decisions only in exceptional cases can be appealed | <input type="checkbox"/> A Position at the head of an international organization (e.g. U.N., NATO, WTO) |
| <input type="checkbox"/> Senior official of the Audit authority or board member of a Central Bank | |

No. If the answer is no, please move on to the next question. Yes. If the answer is yes, please leave the information below.

Name
Country
Function
Personal ID-number, ID-number or Passport number
Period

Subscription form is sent to:
Postal address: Max Mitteregger Kapitalförvaltning AB, Box 7472, 103 92 STOCKHOLM
E-mail: fondorder-gladiator@mittkap.se
Fax : 08-545 048 49